

Audit No.	Directorate / Service	Audit Area	Audit Type	Audit Name	Quarter	Status	Opinion	Proposed Draft Report	Draft issued	Proposed Final	Final Issued	No. of recs	Recommendations					Comments
													5	4	3	2	1	
	Finance	Revenues & Benefits	Operational	Welfare Reform Act	January 2015	created												
	Information Services	Asset Management	ICT	Software Licensing	January 2015	created												
	Information Services	HelpDesk	ICT	Helpdesk Targets & Performance	January 2015	created												
	Legal & Democratic	Information Security	ICT	Information Security Breaches	January 2015	created												
	People & Business Services	Employment Tracking	Operational	Employment Tracking	January 2015	created												
	People & Business Services	Occupancy Data	Operational	Occupancy Data	January 2015	created												
	Transformation	Campus Programme	Operational	Campus Programme	January 2015	created												
PROJECTS CURRENTLY IN PROGRESS - NO ISSUES TO REPORT (STATUS GREEN)																		
	Highways & Transport	Car Parking Services	Operational	Residential Parking Permits	April 2014	In Progress		03/09/2014										
	Adult Care Commissioning & Monitoring	Court of Protection	Operational	Court of Protection	April 2014	In Progress		04/08/2014										
	Economic Development & Planning	Development Control	Operational	S.106 monies/Community Infrastructure Levy (CIL)	April 2014	In Progress		TBC										
	Public Health & Public Protection	Emergency Planning	Operational	Emergency Planning	April 2014	In Progress		19/08/2014										
	Public Health & Public Protection	Environmental Health	Operational	Private Water Supplies	April 2014	In Progress		22/07/2014										
	Finance	Imprests	Operational	Unannounced imprest sites visits	April 2014	In Progress		N/A										
	Client Support	Planning, Reporting & Advice	Advice	Planning, Reporting & Advice, including schools support	2014/15	In Progress		N/A										
	Schools & Learning	Schools	School	Individual Schools Audits	2014/15	In Progress		N/A										
	Public Health & Public Protection	Public Health	Grant Certification	Public Health Grant Certification	April 2014	In Progress		04/08/2014										
	Finance	Pensions	Operational	Administration of Pensions	April 2014	In Progress		19/09/2014										
	Environment, Waste & Leisure	Neighbourhood	Grant Certification	Local Sustainable Transport Fund Grant Certification	April 2014	In Progress		N/A										
	People & Business Services	Carbon Reduction Commitment Scheme	Operational	Carbon Reduction Commitment Scheme	April 2014	In Progress		28/07/2014										
	Legal & Democratic	Information Assurance	Advice	Corporate Information Groups	April 2014	In Progress		N/A										
	Highways & Transport	Highways	Operational	Highways & Streetscene Contract	July 2014	In Progress		01/09/2014										
	Children's Social Care	Special Educational Needs & Disability (SEND)	Operational	SEN Provision	April 2014	In Progress		30/09/2014										
CARRIED FORWARD 2013 - 14 WORK COMPLETED (STATUS GREEN)																		
	People & Business Services	Strategic Property Services	Operational	Energy Management	April 2013	Final	Reasonable					0	0	0	0	0	0	

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													5	4	3	2	1	
	Finance	Treasury Management	Key Control	Treasury Management	October 2013	Final	Substantial					0	0	0	0	0	0	
	Information Services	SAP IT Key Control	Key Control	SAP IT Key Control	October 2013	Final	Reasonable					19	0	1	15	3	0	
	Information Services	Civica Cash Receipting	ICT	Civica Cash Receipting	October 2013	Final	Partial					17	0	5	11	1	0	
	Legal & Democratic	Freedom of Information, Data Protection Act	Operational	Departmental management of Freedom of Information Requests, Subject Access Requests and Complaints	July 2013	Final	Reasonable					5	0	0	2	3	0	
	Legal & Democratic	Litigation Management	Follow Up	Litigation Management Follow Up	July 2013	Final	Follow Up					0	0	0	0	0	0	
	Economic Development & Planning	Economic Development	Follow Up	Enterprise Network Project Follow Up	July 2013	Final	Follow Up					0	0	0	0	0	0	
	Highways & Transport	Highways	Follow Up	Highways Follow Up	January 2014	Final	Follow Up					0	0	0	0	0	0	
	Communications & Communities	Area Boards, Communities	Special Investigation	Investigation Area Board Grant	November 2013	Final	Non Opinion					0	0	0	0	0	0	
	Finance	Imprests/Cash Remote Offices	Governance, Fraud & Corruption	Unannounced imprest visits	April 2013	Final	Non Opinion					0	0	0	0	0	0	
	Environment, Waste & Leisure	Waste Management & Landfill Strategy	Operational	Waste Strategy	July 2013	Final	Advice					0	0	0	0	0	0	
	Children's Social Care	Adoption & Fostering	Grant Certification	Adoption Grant	June 2013	Final	Reasonable					0	0	0	0	0	0	
	Transformation	BDUK Broadband	Operational	BDUK Broadband	October 2013	Final	Advice					0	0	0	0	0	0	
	Information Services	Information Management	ICT	File Storage (Manual Files)	April 2013	Final	Non Opinion					9	1	4	4	0	0	
	Children's Social Care	Troubled Families	Operational	Troubled Families	October 2013	Final	Substantial					1	0	0	1	0	0	
	Adult Care Commissioning & Monitoring	Housing Rents	Operational	Housing Rents	October 2013	Final	Partial					16	0	6	7	3	0	
	Economic Development & Planning	Economic Development	Governance, Fraud & Corruption	Partnerships Governance/LEP	October 2013	Final	Follow Up					0	0	0	0	0	0	
	Finance	Pensions	Key Control	Pensions	October 2013	Final	Substantial					0	0	0	0	0	0	
	Finance	Payroll	Key Control	Payroll	October 2013	Final	Reasonable					8	0	1	4	3	0	
	Finance	Revenues & Benefits	Operational	Welfare reform Act	July 2013	Final	Reasonable					4	0	0	3	0	1	
	Finance	Council Tax	Key Control	Council Tax	October 2013	Final	Reasonable					6	0	0	3	3	0	
	Finance	Accounts Receivable	Key Control	Accounts Receivable	October 2013	Final	Substantial					2	0	1	0	1	0	
	Finance	Housing & Council Tax Benefits	Key Control	Housing & Council Tax Benefits	October 2013	Final	Reasonable					5	0	0	5	0	0	
	Finance	Accounts Payable	Key Control	Accounts Payable	October 2013	Final	Reasonable					0	0	0	0	0	0	
	People & Business Services	Workplace Transformation	Operational	Flexible Working	October 2013	Final	Reasonable					3	0	1	2	0	0	
	Information Services	QL Housing Management System	Key Control	WC_QL Housing Management System	October 2013	Final	No					21	0	11	10	0	0	

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	Information Services	Northgate Benefit System	Key Control	Northgate Benefit System	October 2013	Final	Reasonable					7	0	1	5	1	0	
	Corporate & Procurement	Major Contracts	Operational	Contract Clauses	July 2013	Final	Partial					5	1	3	1	0	0	
	Schools & Learning	Unofficial Funds	Operational	Unofficial Fund Accounting/Procedures	July 2013	Final	Partial					5	0	5	0	0	0	
	Environment, Waste & Leisure	Fleet	Operational	Fleet	July 2013	Final	Reasonable					0	0	0	0	0	0	
	Adult Care Commissioning & Services	Homes 4 Wiltshire	Non Opinion	Homes 4 Wiltshire	July 2013	Final	Non Opinion					7	0	1	6	0	0	